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Jhu/B

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Kazutoshi YASUNAGA et al.

Group Art Unit: 2655

Appl No. : 09/843,939

Examiner: Michael Opsasnick

Filed : April 30, 2001

For : AN EXCITATION VECTOR GENERATOR AND A METHOD FOR  
GENERATING AN EXCITATION VECTOR INCLUDING A  
CONVOLUTION SYSTEM (As Amended)

**COMPLETION OF RECORD**

U.S. Patent and Trademark Office  
220 20th Street S.  
Customer Window, Mail Stop \_\_\_\_\_  
Crystal Plaza Two, Lobby, Room 1B03  
Arlington, VA 22202

Sir:

On July 30, 2001, Applicants filed an Information Disclosure Statement in the present application. In the Information Disclosure Statement, U.S. Patent No. 5,265,167 was brought to the Examiner's attention. However, U.S. Patent No. 5,265,167 was inadvertently omitted from the Form PTO-1449 which was included in the Information Disclosure Statement filed on July 30, 2001.

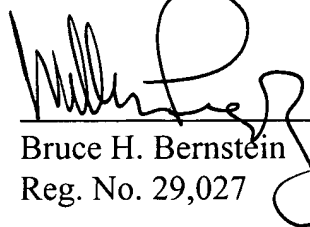
Enclosed, please find a copy of the Information Disclosure Statement filed on July 30, 2001, the date-stamped copy of the filing receipt, and a new Form PTO-1449 which lists U.S. Patent No. 5,265,167. The Examiner is respectfully requested to initial the listing of U.S. Patent

P20687.A08

5,265,167 to confirm its consideration since it was otherwise properly cited to the Examiner and should have thus been considered by him.

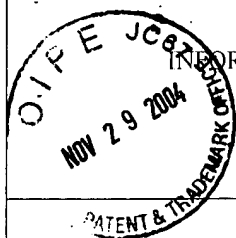
Should the Examiner have any questions, the Examiner is invited to contact the undersigned at the below-listed telephone number.

Respectfully submitted,  
Kazutoshi YASUNAGA et al.

  
Bruce H. Bernstein  
Reg. No. 29,027

November 29, 2004  
GREENBLUM & BERNSTEIN, P.L.C.  
1950 Roland Clarke Place  
Reston, VA 20191  
(703) 716-1191

FORM PTO-1449

U.S. Department of Commerce  
Patent and Trademark OfficeAtty. Docket No.  
P20867Application No.  
09/843,939INFORMATION DISCLOSURE STATEMENT  
BY APPLICANT

(Use several sheets if necessary)

Applicant  
Kautoshi YASUNAGA et alFiling Date  
April 30, 2001Group  
2655

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER							DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
		5	2	6	5	1	6	7	11/23//93	AKAMINE et al.			

## FOREIGN PATENT DOCUMENTS

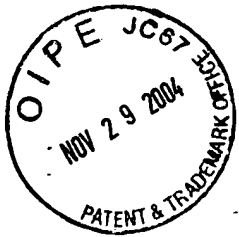
		DOCUMENT NUMBER							DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO	

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)


EXAMINER

DATE CONSIDERED

\*EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.



GREENBLUM & BERNSTEIN, P.L.L.C.  
Intellectual Property Causes  
1941 Roland Clarke Place  
Reston, VA 20191  
(703) 716-1191

7/30/2001

File In: Mailroom

The Patent Office Date stamp hereon is an acknowledgement that, on the date indicated, the Patent Office received the following:

- |  |  |
|--|--|
| <input type="checkbox"/> Amendment _____   | <input type="checkbox"/> Claim of Priority & Certified Copy of _____                     |
| <input type="checkbox"/> Executed Assignment and cover letter<br><input type="checkbox"/> by facsimile |  |
| <input type="checkbox"/> Executed S.E.S. <input type="checkbox"/> S.E.S. Assertion                     | <input type="checkbox"/> Declaration <input type="checkbox"/> Supplemental               |
| <input type="checkbox"/> Req. for Ext. of Time   | <input type="checkbox"/> New <input type="checkbox"/> Unexecuted                         |
| <input type="checkbox"/> Fee Filing <input type="checkbox"/>   | <input type="checkbox"/> Executed by facsimile   |
| Recording <input type="checkbox"/>   |  |
| Issue <input type="checkbox"/>   | <input type="checkbox"/> Transmittal Letter  |
| Extension <input type="checkbox"/>   | <input type="checkbox"/> Patent Application  |
| <input type="checkbox"/> Fee Transmittal   | <input type="checkbox"/> Provisional <input type="checkbox"/> Reexam                     |
| <input type="checkbox"/> Maintenance Fee Payment   | <input type="checkbox"/> Reissue <input type="checkbox"/> Design                         |
| <input type="checkbox"/> PTOL-85B Issue Fee  | <input type="checkbox"/> Continuation <input type="checkbox"/> CIP                       |
| <input type="checkbox"/> Letter to Draftsman   | _____ pages (w/abstract)   |
| <input type="checkbox"/> Design Patent Application Transmittal   | _____ claims _____ independent   |
| <input type="checkbox"/> Utility Patent Application Transmittal  | _____ sheets of drawings   |
| <input type="checkbox"/> Provisional Application Cover Sheet   | _____ figures  |
| <input type="checkbox"/> Continued Prosecution Application   | <input checked="" type="checkbox"/> I.D.S. form PTO-1449 &                               |
| (CPA) Request Transmittal  | References <input type="checkbox"/> as attached  |
| <input type="checkbox"/> Request for Continued Examination (RCE)                                       | <input type="checkbox"/> as listed on reverse  |
| including Submission   | <input type="checkbox"/> One self-addressed postcard                                     |
| <input type="checkbox"/> Cover Letter  | <input type="checkbox"/> Certification under 1.97(e)                                     |
| <input type="checkbox"/> Check No. _____ for \$ _____  | <input type="checkbox"/> Certificate of Mailing (C-O-M)                                  |
| <input type="checkbox"/> Rule 53b and 53f Letter for   | <input type="checkbox"/> Returned Envelope   |
| Unexecuted Application   | <input checked="" type="checkbox"/> Copy of US Patent App. No. 09/440,092 and 09/101,186 |



In the matter of : APPARATUS AND METHOD FOR GENERATING AN EXCITATION VECTOR

Applicant : Kazutoshi YASUNAGA \*  
          Toshiyuki MORII  
          Taisuke WATANABE

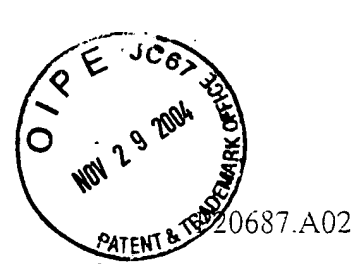
Application No. : 09/843,939

Filed : 4/30/2001

Patent No. :

Issued :

Docket : P20687 SW



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Kazutoshi YASUNAGA et al.

Group Art Unit: 2645

Appl No : 09/843,939  
(Continuation of 09/440,092)

Examiner:

Filed : April 30, 2001

For : EXCITATION VECTOR GENERATOR, SPEECH CODER AND SPEECH  
DECODER

**INFORMATION DISCLOSURE STATEMENT**

Assistant Commissioner of Patents  
Washington, DC 20231

Sir :

In accordance with the duty of disclosure under 37 C.F.R. §1.56, §1.97-1.98, Applicants hereby call the following documents, which have been previously cited in parent Application No. 09/440,092, to the Examiner's attention:

In an Office Action dated June 12, 2000, for the above-mentioned parent application, the Examiner cited the following documents:

- (1) U.S. Patent No. 5,293,449 to TZENG, issued on March 8, 1994;
- (2) U.S. Patent No. 5,396,576 to MIKI et al., issued on March 7, 1995;
- (3) U.S. Patent No. 5,371,853 to KAO et al., issued on December 6, 1994;
- (4) U.S. Patent No. 5,265,167 to AKAMINE et al., issued on November 23, 1993; and
- (5) An article by Laflamme et al. Entitled "On Reducing Computational Complexity of Codebook Search in CLEP Codes Through the Use of Algebraic Codes", IEEE ICASSP-90;

In an Information Disclosure Statement, filed with the U.S. Patent and Trademark Office on April 26, 2000 in the same parent application, the following documents were cited:

(6) M.R. SCHROEDER et al., "Code-Excited Linear Prediction (CELP): High-Quality Speech at Very Low Bit Rates", Proc. ICASSP, pp. 937-940 (1985), cited on page 1 of the present application;

(7) R. SALAMI et al., "8 KBIT/S ACELP Coding of Speech With 10 MS Speech-Frame: A Candidate for CCITT Standardization", ICASSP, pp. II-97 to II-100 (1994), cited on page 7 of the present application;

(8) LINDE et al., "An Algorithm For Vector Quantizer Design", IEEE Transactions On Communications, Vol. Com-28, No. 1, pp. 84-95 (1980), cited on pages 113 and 120 of the present application;

(9) MIKI et al., "A PITCH SYNCHRONOUS INNOVATION CELP (PSI-CELP) CODER FOR 2-4 KBIT/S", 1994 IEEE, pp. II-13 to II-116 (1994);

(10) Japanese Patent Publication No. HEI 7-295598A, published on November 10, 1995, along with an English language abstract;

(11) Japanese Patent Publication No. HEI 6-202697, published on July 22, 1994, along with an English language abstract;

(12) Japanese Patent Publication No. HEI 2-12300, published on January 17, 1990, along with an English language abstract;

(13) Japanese Patent Publication No. HEI 8-044400A, published on February 16, 1996, along with an English language abstract;

(14) Japanese Patent Publication No. HEI 8-016196A, published January 19, 1996, along with an English language abstract;

(15) Japanese Patent Publication No. HEI 6-175695A, published on June 24, 1994, along with an English language abstract;

(16) Japanese Patent Publication No. HEI 8-006600A, published on January 12, 1996, along with an English language abstract; and

(17) Japanese Patent Publication No. HEI 8-279757A, published on October 22, 1996, along with an English language abstract.

All of the above documents are of record in Application No. 09/101,186, which is a parent to Application No. 09/440,092.

Applicants further note the following co-pending, commonly assigned patent applications:

(18) U.S. Patent Application No. 09/091,823, filed on July 1, 1998, which has become U.S. Patent No. 6,115,687 to , issued on ;

(19) Co-pending U.S. Patent Application No. 09/101,186, filed on July 6, 1998;

(20) Co-pending U.S. Patent Application No. 09/440,087, filed on November 15, 1999;

(21) Co-pending U.S. Patent Application No. 09/440,083, filed on November 15, 1999;

(22) Co-pending U.S. Patent Application No. 09/440,199, filed on November 15, 1999;

and

(23) Co-pending U.S. Patent Application No. 09/440,093, filed on November 15, 1999.

In addition, Applicants bring the following to the attention of the Examiner:

(24) Japanese Patent Publication No. HEI 9-6396, published on January 10, 1997, along

with an English language Abstract;

(25) Japanese Patent Publication No. HEI 10-63300, published on March 6, 1998, along with an English translation thereof;

(26) WO 99/12156, published March 11, 1999, to Ericson; and

(27) International Telecommunication Union, "Series G: Transmission Systems and Media, Digital systems and Networks-Coding of speech at 8kbit/s using Conjugate Structure Algebraic Code Excited Linear-Prediction (CS-ACELP); Annex D: 64 kbit/s S-ACELP speech coding algorithm, published September 1998.

Applicants note that the following documents were cited in European Search Report for EP 99 12 6129, a counterpart of the present application, in which the following documents were cited:

(28) SALAMI et al., "Real-Time Implementation of a 9.6 Kbit/s ACELP Wideband Speech Coder." Proceedings of the Global Telecommunications Conference, U.S., New York, IEEE, vol -, 1992, pages 447-451, has been cited as an "X" category document (i.e., a document particularly relevant if taken alone) as relevant to claim 1-3 and 7-10 of EP application No. 99 12 6129. Fig. 1 and paragraph "00IVI" were indicated as relevant sections.

(29) KIM et al., "A Complexity Reduction Method for VSELP Coding Using Overlapped Sparse Basis Vectors." Proceedings of the International Conference on Signal Processing Application and Technology, October 18, 1994, has been cited as an "A" category document (i.e., a document indicating technological background) as relevant to claims 1 and 14 of EP application No. 99 12 6129. Fig. 1 and paragraph "0IIII" were indicated as relevant sections.



(30) MILLAR et al., "A Multipulse Speech Codec for Digital Cellular Mobile Phone Use." Proceedings on the Workshop on Speech Coding for Telecommunications, U.S., Boston, Kluwer, vol. -, 1989, pages 87-96, has been cited as an "A" category document (i.e., a document of technological background) as relevant to claims 1 and 14 of EP application No. 99 12 6129. Page 90 was identified as a relevant passage.

(31) EP 680 032 to Nippon Electric Co., published November 2, 1995, has been cited as an "A" category document (i.e., a document indicating technological background) as relevant to claims 1 and 14 of EP application No. 99 12 6129. Page 5, lines 48-57 was identified as relevant.

(32) U.S. Patent 5,293,449 to TZENG, issued March 8, 1994, has been cited as an "A" category document (i.e., a document indicating technological background) as relevant to claims 1 and 14 of EP application No. 99 12 6129. Page 4 was identified as a relevant passage.

Applicants note that a European Search Report for EP 99 12 6130, another counterpart of the present application, cited documents (28-32) above as "A" category documents (i.e., documents indicating technological background) as relevant to claims 1 and 14 of EP 99 12 6130.

Applicants note that a European Search Report for EP 99 12 6131, another counterpart of the present application, cited documents (28-32) above as "A" category documents (i.e., documents indicating technological background) as relevant to claims 1 and 14 of EP 99 12 6131.

Applicants hereby bring to the attention of the Examiner the European Search Report for EP 99 12 6132, a counterpart of the present application, in which the following

documents were cited:

(33) KIM et al., "A Complexity Reduction Method for VSELP Coding Using Overlapped Sparse Basis Vectors." Proceedings of the International Conference on Signal Processing Application and Technology, October 18, 1994, has been cited as an "A" category document (i.e., a document indicating technological background) as relevant to claims 1 and 11 of EP application No. 99 12 6132. Fig. 1 and paragraph "0III" were indicated as relevant sections.

(34) EP 680 032 to Nippon Electric Co., published November 2, 1995, has been cited as an "A" category document (i.e., a document of technological background) as relevant to claims 1 and 11 of EP application No. 99 12 6132. Page 5, lines 48-57 was identified as a relevant passage.

(35) JP HEI 5-281999, published October 29, 1993, and an English Abstract of the same, has been cited as an "A" category document (i.e., a document of technological background) as relevant to claims 1 and 11 of EP application No. 99 12 6132. The abstract was identified as relevant.

(36) U.S. Patent 5,293,449 to TZENG, issued March 8, 1994, has been cited as an "A" category document (i.e., a document of technological background) as relevant to claims 4 and 14 of EP application No. 99 12 6132. Fig. 4 was identified as relevant.

Applicants hereby bring to the attention of the Examiner the European Search Report for EP 97 91 1460, a counterpart of the present application, in which the following documents were cited:

(37) EP 488 751 to Sharp KK, published June 3, 1992, has been cited as an "A" category document (i.e., a document of technological background) as relevant to claims 1, 12, 20, 37, 43, 57, and 62 of EP application No. 97 91 1460. Column 2, lines 5-11 and 30-34; and column 3, line 42 through column 5, line 18, were indicated as relevant.

(38) U.S. Patent No. 5,428,561 to BRYANT et al., issued June 27, 1995, has been cited as an "A" category document (i.e., a document of technological background) as relevant to claims 1, 12, 20, 37, 43, 57, and 62 of EP application No. 97 91 1460. Column 3, line 62 through column 4, line 7, was indicated as relevant.

Applicants hereby call the following documents, which were cited in a Supplemental European Search Report mailed on June 5, 2000 in a corresponding EP Application issued by the European Patent Office and filed with the U.S. Patent Office on July 31, 2000 to the Examiner's attention:

(39) An article entitled "A Multi-Mode Variable RTE Speech Coder for CDMA Cellular Systems" by N. TANAKA et al., 1996 IEEE 46th Vehicular Technology Conference, Mobile Technology for the Human Race (Cat. No. 96CH 35894), April 28 to May 1, 1996, pages 198-202, vol. 1, was cited as a "Y" category document (i.e., a document that is of particular relevance if combined with another document of the same category) with respect to claim 50 of the European Application. The European Examiner indicated Figure 1 to be relevant;

(40) U.S. Patent No. 4,797,925 to L. DANIEL, entitled "Method for Coding Speech at Low Bit Rates", which issued on January 10, 1989, was cited as an "A" category document (i.e., a document relevant to the technological background of the invention) with respect to claims 6,

18 and 60 of the European application. The European Examiner indicated the Abstract to be relevant;

(41) An article entitled "Analysis and Improvements of the Vector Quantization in Selp", by W.B. KLEIJIN et al., 1988 Proceedings of the European Signal Processing Conference, pages 1043 to 1046, was cited as an "A" category document with respect to claims 6, 18 and 60 of the European application. The European Examiner indicated Paragraph 2: "The Adaptive Codebook" to be relevant;

(42) An article entitled "A Complexity Reduction Method for VSELP Coding Using Overlapped Sparse Basis Vectors" by S. J. KIM et al., 1994 Proceedings of the International Conference on Signal Processing Applications and Technology, October 18, 1994, was cited as a "X" category document (i.e., a document that is of particular relevance) with respect to claims 9, 21, 24, 36, 40, 63 and 64 of the European Application. The European Examiner also cited the document as a "Y" category document with respect to claim 50 of the European application;

(43) EP 488 751, assigned to Sharp KK, published June 3, 1992, was cited as an "A" category document with respect to claims 1, 12, 20, 37, 43, 57 and 62 of the European Application. The European Examiner indicated column 2, line 5 through line 11, column 2, line 30 through 34 and column 3, line 42 through column 5, line 18 as being relevant;

(44) U.S. Patent No. 5,428,561 to BRYANT et al., which issued on June 27, 1995, was cited as an "A" category document with respect to claims 1, 12, 20, 37, 43, 57 and 62 of the European application. The European Examiner indicated the Abstract, as well as column 3, line 62 through column 4, line 7 as being relevant; and

(45) EP 680 032, assigned to NIPPON ELECTRIC CO., published November 2, 1995, was cited as an "A" category document with respect to claims 6, 18 and 60 of the European application. The European Examiner indicated the Abstract as being relevant.

In a Supplemental Information Disclosure Statement, filed with the U.S. Patent and Trademark Office on March 7, 2001 in the same parent application, the following documents were cited:

Applicants hereby call the following documents which were cited in a European Search Report mailed on February 14, 2001 in corresponding EP Application 00 12 6875, issued by the European Patent Office to the Examiner's attention:

(46) An article entitled "A Complexity Reduction Method for VSELP Coding Using Overlapped Sparse Basis Vectors" by S. J. KIM et al., 1994 Proceedings of the International Conference on Signal Processing Applications and Technology, October 18, 1994, was cited as a "A" category document (i.e., a document of technological background) with respect to claims 1, 2, 12, and 13 of the European Application. The European Examiner indicated that figure 1 and paragraph 0III as being relevant;

(47) EP 680 032, assigned to NIPPON ELECTRIC CO., published November 2, 1995, was cited as an "A" category document with respect to claims 1, 2, 12, and 13 of the European application. The European Examiner indicated page 5, line 48 - 57 as being relevant;

(48) JP HEI 5-281999, published on October 29, 1993, and an English Abstract of the same, was cited as an "A" category document with respect to claims 1, 2, 12, and 13 of the European application. The European Examiner indicated the abstract as being relevant;

(49) U.S. Patent No. 5,293,499 to TZENG, issued on March 8, 1994, was cited as an "A"

category document with respect to claims 1, 2, 12, and 13 of the European application. The European Examiner indicated figure 4 as being relevant; and

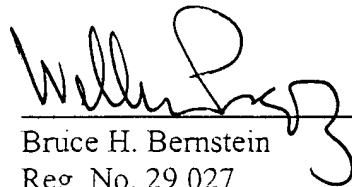
(50) U.S. Patent No. 5,060,269 to ZINSER, issued on October 22, 1991 was cited as an "X" category document (i.e., particularly relevant if taken alone) with respect to claim 11. The European Examiner indicated the abstract and figure 3 as being relevant.

Applicants note that all of the above-mentioned documents (except the previously cited co-pending commonly assigned patent applications) were either cited by the Examiner or were previously submitted in the form of an Information Disclosure Statement filed in parent Application No. 09/440,092 or its parent Application No. 09/101,186. Therefore, only those documents which were not previously submitted are attached hereto.

Applicants respectfully request that the Examiner consider the above materials and cite the patent documents. The above-noted documents have been listed on a PTO-1449 Form which is attached hereto. Accordingly, the Examiner is requested to initial the appropriate spaces on the attached PTO-1449 Form and to return a copy of the Form to Applicants with the next official communication in the present application to confirm consideration of these documents.

Should the Examiner have any questions, the Examiner is invited to contact the undersigned at the below-listed telephone number.

Respectfully submitted,  
Kazutoshi YASUNAGA et al.

  
Bruce H. Bernstein  
Reg. No. 29,027

BN 033630  
11-29-04

July 30, 2001  
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